A Comparison of the Removal of Blood Clots by Mechanical Thrombectomy Devices using Auto-Expandable Stents and Suction Pressure Devices

Gregorio Romero, Maria Luisa Martinez, Joaquin Maroto
Higher Technical School of Industrial Engineering Technical University of Madrid (UPM), Madrid, Spain
e-mail: gregorio.romero@upm.es, luisa.mtzmuneta@upm.es,
joaquin.maroto@upm.es

Gillian Pearce
Institute for Science and Technology in Medicine Keele University, Stoke-On-Trent United Kingdom
e-mail: gpearce2011@gmail.com

Abstract - Recently, we have presented some studies concerning the analysis, design and optimization of one experimental device developed in the UK - GPTAD - which has been designed to remove blood clots without the need to make contact with the clot itself, thereby potentially reducing the risk of problems such as downstream embolisation. Based on the idea of a modification of the previous device, in this work, we present a model based on the use of stents such as the SolitaireTM FR, which is in contact with the clot itself. In the case of such devices, the stent is self-expandable and the extraction of the blood clot is facilitated by the stent, which must be inside the clot. Such stents are generally inserted in position by using a guidewire inserted into the catheter. This type of modelling could potentially be useful in showing how the blood clot is moved by the various different forces involved. The modelling has been undertaken by analyzing the resistances, compliances and inertances effects. We model an artery and blood clot with the blood clot and the stent in the modelling. Such modelling will be useful in optimizing the velocity of the cable and predicting the result of clot extraction under a variety of conditions. The model includes the systolic and diastolic blood pressure variations. The aim of this simulation model is to obtain a range of velocities appropriate to the use of these types of mechanical thrombectomy devices.

Keywords - Biomedical engineering, Stent, Thrombectomy Device, Simulation techniques

I. INTRODUCTION

The World Health Organization reports that 15 million people worldwide suffer stroke; and of these, 5 million die and a further 5 million are left permanently disabled, many severely impaired. Consequently stroke is a major cause of mortality world-wide. Most strokes are caused by a blood clot that occludes an artery in the cerebral circulation. Thrombolytic agents such as Alteplase are used to dissolve blood clots that arise in the cerebral arteries of the brain but there are limitations on the use. Recently screening for patients at risk of strokes and TIA’s (Transient Ischaemic Attacks) has come into being. If such plaques are detected in the carotid arteries (by Ultrasound), a Carotid endarterectomy (CEA) - a surgical operation - may be performed to remove the occlusive plaque. Additionally, stenting has been investigated for partially occluded carotid arteries. In the SAPPHIRE study [1], it was concluded that carotid stenting lowered the incidences of major stroke and myocardial infarction, in patients at high risk who needed surgery. Over the past decade, other methods of treatment have been developed which include Thrombectomy Devices. Such devices have the potential to be used as an alternative to thrombolytic agents or in conjunction with them to extract clots in the different arteries e.g. in the middle cerebral artery of the brain, carotid, popliteal artery, etc.

Alternatively a clot of blood may become attached to the plaque and subsequently become detached and pass into the cerebral circulation giving rise to a stroke. In the case of 100% occlusion, it causes total blockage of the artery. In this work, we present an analysis and modelling a 100% occlusion case and without the presence of any plaque. To model this case we take into account factors such as the resistances, compliances and inertances effects associated with the blood clot and the stent in the modelling. Such modelling will be useful in optimizing the velocity of the cable and predicting the result of clot extraction under a variety of conditions. The model includes the systolic and diastolic blood pressure variations. The aim of this simulation model is to obtain a range of velocities appropriate to the use of these types of mechanical thrombectomy devices.

II. MODEL DESCRIPTION

In recent times the mechanical thrombectomy devices have become increasingly involved in blood clot removal. Thrombectomy devices have been developed as another means of clot removal. A number of devices using a variety of methods to remove the clot are now available. These include the MERCI clot retriever [2], and, more recently, the penumbral device [3]. Other types of devices include angiography catheters [4] rheolytic catheters (AngioJet) [5], Basket style devices [6] and microsnaring devices [7]. Thrombectomy devices have potential risks associated with them, such as breakage of moving parts, penetration of the arterial wall, and downstream embolisation caused by clot dislodgment [8, 9]. Studies suggest that mechanical embolectomy is most effective in large volume proximal occlusions [10].

Other interventional surgical treatments include endarterectomy which involves surgically removing the plaque in the carotid arteries. This treatment has proved successful [11] but carries a risk of the plaque becoming dislodged during the procedure.

The need to study new medical devices like the one described here has been greatly assisted by computer pre-modelling. The latter helps in the optimization and fine-tuning of the device.
The Solitaire™ FR stent is a fully recoverable, self-expanding thrombectomy device that is based on the Solitaire/Solo stent. In the process, of clot extraction a microcatheter is tracked over a guidewire to the point of the blockage. The guidewire is then retracted and the Solitaire™ FR system is advanced through the microcatheter until the entire length of the Solitaire FR stent is distal to the blockage. The balloon is then inflated to occlude antegrade blood flow. The Solitaire FR is then deployed until the distal marker of the microcatheter is aligned with the proximal marker of the stent (fig. 1.A and 1.B). The deployed stent and microcatheter are then retracted simultaneously as one unit through the balloon guide catheter while constant aspiration is performed on the balloon guide catheter with a syringe (fig. 1.C) [12].

The ‘GP’ device [13] is attached to a pump that provides the necessary suction pressure for the clot removal. The device is joined to the suction pump via a catheter; the GPTAD is located at the end of this catheter. This device is introduced into an artery in close proximity to the occluding blood clot, and is positioned at a distance of approximately 3 mm from it. Then the suction pressure is gradually increased until the clot is extracted. The clot crosses the 3 mm that separates it from the GPTAD and when clot capture occurs the device is then removed from the body while maintaining some suction pressure.

Comparing both devices, the Solitaire™ FR needs to touch the clot to remove it. Touching a clot in any way may potentially cause a risk of downstream embolisation. The use of self expanding stents may cause less endothelial damage compared to balloon-expandable stents, which may lower rates of re-stenosis.

Although the full procedure involves inserting a balloon guide catheter and tracking a microcatheter in addition to the stent, the model shown here only analyzes the interaction blood clot-stent-artery. This device is introduced into the artery at the place where the clot is situated, and is positioned into the blood clot. Once the stent has been inserted into the blood clot it self-expands and a guidewire provides the necessary force for the extraction. The clot is then manually extracted from the body.

The ‘GP’ device has been analyzed previously in numerous works and the objective of this study is to introduce a new model that can be used to investigate and assist the final design of a device that incorporates the use of stents. We investigate the potential performance of such a device under different conditions of blood flow, size of blood clot and systolic-diastolic pressure, in a given vessel. The method chosen for the representation and simulation in this instance is the Bond Graph technique [14][15], which allows assimilating the model to an electric circuit made up of inductances, capacitances and resistances. Therefore, it is possible to obtain the results in a simple way by evaluating flows and efforts that join and connect the components of the model.

Previous models developed by the authors concerning the ‘GPTAD’ device [16][17][18] have shown good results but some simplifications concerning the interaction inside the blood clot and with the artery wall only were considered.

To develop the modelling further it is necessary to analyze the inertances, the compressibility that the artery is subjected to, and the resistances [19]. In addition, the friction between the clot and the arterial wall creates another resistance factor. The value of this parameter must be variable depending on whether the clot has begun its movement (dynamic friction) or before it has begun to move (static friction) during the clot extraction procedure. When the clot begins to move the friction decreases considerably. In the previous developed models it was obtained only from the Stokes equation; in the work presented in this paper, this value is obtained from the platelet interaction - artery wall only were considered.

Finally, in the model developed and presented here we have included the systolic and diastolic blood pressure (120/80 mmHg) as additional positive pressure adding a variable pressure source that pushes the clot in synchrony with the rhythm of the heart.

Accurately defining the clot model in order to model it is the most complex part of the modelling. In previous models the clot has been approximated to a cylindrically-shaped element of [0.5-5] cm long, and of a mass that falls between [0.5-0.1] gram, connected to the artery wall by using an equivalent spring. Using this equivalent spring technique in...
the modelling enables us to determine when clot movement begins. We calculate the displacement of the spring when it is subjected to 0.01 N (and other values depending on various parameters) via a typical spring equation. Therefore, only when the spring underwent this displacement does the clot begin to move; no clot movement occurs before this value is achieved.

The interaction between the blood clot and the artery used in the previous models can be developed further here in this model. Previous models focused on the simulated adhesion of the clot to the wall. The existing model considers the clot as one cylindrical shape with the same adhesion force in every case and a spring-damper system in parallel, which simulates the elastoplastic ability of the clot to withstand traction. This representation of the internal conditions of the clot will be maintained in all subsequent models, although they change their name. Additionally, the clot inertia also involves the bond strength to the artery.

Modelling the adhesion force itself is complex. It is relatively difficult to find the spring-damper rate that represents the junction with the arterial wall and previously – only the in-vitro maximum adhesion force was considered. Secondly, the junction with the wall had to allow, the clot to move, even if the suction pressure applied was insufficient to move it in reality. The value of the constants in both the spring and the damper must be extremely high to simulate a firm anchor to the point of release. Simulating the moment when the clot breaks loose from the wall in the previous model method was very challenging.

Due to these problems with the previous model we sought another solution to simulate the clot and its behaviour under pressure. As in the previous modelling, we kept the partitions represented by inertia and joined by a variable pressure. If we consider that the value of the constants in both the spring and the damper must be extremely high to simulate a firm anchor to the point of release. Simulating the moment when the clot breaks loose from the wall in the previous model method was very challenging.

To model the junction with the artery, the point of release and the static and dynamic friction we decided to add to each inertia an effort source (F_toh) that varies depending on the force applied over each blood clot part when the simulation is running. We can observe the new configuration in figure 3.

When the clot begins its movement, the static friction disappears and the dynamic friction acts in the system. It is much lower that the static friction. We have calculated it by means of the Stokes law for a cylindrical solid:

\[ F_{\text{dynamic friction}} = \frac{C}{8} \cdot \rho \cdot \pi \cdot D^2 \cdot V^2 \]  

where ‘C’ is the form coefficient for a cylinder, ‘\( \rho \)’ is the blood’s density, ‘D’ the clot’s diameter and ‘V’ the velocity of the first partition.

Each inertia will suffer a force due to suction, which should be compensated in the model with a force of friction to annul it, while the clot is in the position of static friction. Once we have calculated the flow-effort table of the system, we apply the condition that the stress on the inertia must be zero. The condition to know if the clot is attached to the surface is based on the forces that the springs encounter between partitions (Kunion).

Hence when the effort supported by each partition is higher than the adherence force then the clot part releases from the surface. The value of the adherence force was analyzed by C. J. Flannery (2005) [20] and we use all the necessary data from it.

However in calculating the adhesion strength C.J Flannery did not consider cylindrical clot geometry that narrows down the middle due to stenosis or atherosclerotic plaque. In our modelling we consider the non existence of a plaque, and consider the blood clot as a cylinder divided into five parts in order to study better the interaction with the wall artery.

As we have defined our clot we calculated the adhesion force by means of the platelet adhesion force. And we have obtained from C.J. Flannery the next equation:

\[ \text{N platelets per area} = \frac{\text{SA} \cdot \text{MFA}}{\text{MPa}} \]  

where ‘fp’ is the % of platelets in the clot, ‘SA’ is the surface in contact with the artery and ‘MPA’ the Mean Platelet Area.

Once we have the number of platelets in contact with the artery and the force/platelet, we can calculate the adhesion force for each partition and obviously for the entire clot. In this manner we would have different adhesion forces depending on the size and force of the clot.

\[ F_{\text{adh}} = \text{N platelets} \cdot \frac{F}{\text{TOTAL} \cdot \text{platelet} - \text{artery} \_ \text{wall}} \]  

The systolic and diastolic blood pressure can be introduced by using a variable pressure. If we consider that the blood pressure varies from about 120mmHg to 80mmHg (16 kPa to 11 kPa) in systolic to diastolic pressure variation in the normal cardiac cycle, and we impose a rate of 1 cycle per second, we can approximate mathematically the pressure (kPa) in two parts (0.00-0.32 sec. and 0.32-1.00 sec.) by using two polynomial expressions (4) and (5):

\[ P_s = -13415 \cdot t^5 - 8508.6 \cdot t^4 + 986.58 \cdot t^3 + 177.3 \cdot t^2 - 4.99 \cdot t + 11.01 \]  

\[ P_d = -1488 \cdot t^6 - 6237.6 \cdot t^5 - 10700 \cdot t^4 + 9593.3 \cdot t^3 - 4719.5 \cdot t^2 + 1188.3 \cdot t - 102.8 \]
The previous equations have been obtained by taking different points from the typical pressure waveform associated.

IV. STENT MODELLING

To model the stent, it’s necessary to take into account the environment inside the blood clot when the stent is deployed, in relation to factors such as the elastic component in parallel configuration with the blood clot. In relation to the five parts model shown in figure 3, we include the elastic stent components divided in five segments too. This technique permits us to differentiate whether the clot is being separated from the artery wall or not.

It can be seen in figure 5, that when the stent is opened up into the blood clot, the stent expands automatically and compression to the artery wall occurs in a radial direction, thus creating a radial force.

The clot is then pulled by using the guidewire, and an axial force appears, being applied over the guidewire. This results in an increase in the length as for a typical spring; in this moment, the radial force decrease and the movement of the blood clot begins if the force that appears over it is greater than the force in the contact with the artery wall, i.e., the usual adhesion force plus the residual radial force of the stent.

Jedwab and Clerc [21] developed a mathematical model of a self-expanding metallic stent with the goal of computing several geometrical and mechanical properties of it, which is analyzed in the Conti work too [22]. The theoretical model is based on four main assumptions:

- the stent is a combination of a number of independent open-coiled helical springs undergoing large deformations;
- the extremities of the stent are not free to rotate due to the friction between the stent wires in the crossing points;
- the springs experience only elastic deformation;
- the springs have large ratio D/d, were ‘D’ is the stent average diameter and ‘d’ is the stent wire diameter.

Under these conditions, they may use the equation for open-coiled helical springs given by Wahl [23]. Considering an axial force ‘F’ acting on the stent, it leads to an elongation of the stent and a change in the pitch angle (β).
So they obtained an analytical relation between the axial force and the initial and the current pitch angle after assigning the material properties. It can be written as an explicit function of the current pitch angle (β) and the initial pitch angle (β₀):

\[ F = \frac{n}{R} \left( \frac{2 \sin \beta \cos \beta}{R} \left( 2 \sin \beta \cos \beta - \frac{2 \sin \beta \cos \beta}{R} \right) \right) ^{2} \]

where ‘n’ is the number of wires, ‘I’ and ‘Ip’ are the wire moment of inertia and polar moment of inertia, respectively, ‘E’ is Young’s modulus of elasticity, ‘G’ the rigidity modulus, and ‘R₀’ / ‘R’ the initial and work stent radius respectively.

In a similar way, in the thesis developed by Loo [24], he obtain the equivalent longitudinal and radial compliance as function of ‘K₁’, ‘K₂’ and ‘K₃’ parameters, which are constants based in the ‘β₀’ and ‘D₀’ values.

To implement this behaviour into the simplified model, it is necessary to introduce a longitudinal compliance and resistance (fig. 6), which will go in parallel with the spring-dumper system used to model the blood clot. Additionally, it is necessary to introduce a radial force, corresponding with the ‘Kᵣ’ value multiplied by the radius reduction; the radius reduction will be given taking into account the initial pitch angle (β₀) and the current pitch angle (β).

In each step of simulation the ‘K₁’ and ‘K₂’ values must be recalculated from the current pitch angle in each moment; so the compliance stent values are not linear in accordance with expressions (7) and (8).

In the case of the ‘GP’ device (fig. 7), the main difference is the existence of the catheter containing the GP device. In order to represent both elements, they are considered as several pipe sections bearing in mind the different phenomena that take place inside: load and inertia loss, and fluid compressibility.

Linear load loss is due to the friction between the liquid particles and the pipe walls. Due to there being straight pipes, only linear load losses are taken into account. It can be represented by a resistance (R) and if we assume that the blood flow is laminar due to the Reynolds number being approximately 1000. Additionally, the pressure losses corresponding with the transition from the GPTAD to the artery (which have different diameters) can be represented as a secondary loss and it can be represented by adding a new resistance (Rₙozzle).

Secondly, the flow inertia (I) to be overcome in its movement is taken into account and is considered as a section with circular geometry.

Lastly, the blood compressibility (K) must be included which acts as a spring producing a decrease in volume when the pressure required for compression is increased.

In this model the force caused by the systolic and diastolic pressure has been not included due to its influence compared to the suction.
V. RESULTS

The first aim of the simulations presented here is to determine the time required for the extraction of a blood clot with a self-expandable stent, whose rigidity is variable due to properties of the material used, namely nitinol, and the ‘GP’ device.

To do this, by varying the values of the force of the guidewire and the suction pump, the movement of each partition of the clot and the time required for its extraction are measured, thereby obtaining the optimum force. Such modelling could potentially help us in the prevention of excessive forces, causing the rupture of the blood clot, if a typical stent were used in the smallest arteries.

To carry out the model validation, we use values for the parameters (in the simulation) listed in the following table.

<table>
<thead>
<tr>
<th>TABLE I. PARAMETER VALUES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Force</td>
</tr>
<tr>
<td>Blood Density (ρ)</td>
</tr>
<tr>
<td>Stent length (L)</td>
</tr>
<tr>
<td>Artery diameter</td>
</tr>
<tr>
<td>Blood Viscosity (η)</td>
</tr>
<tr>
<td>Kunion</td>
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<tr>
<td>Runion</td>
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<tr>
<td>Stent diameter (D₀)</td>
</tr>
<tr>
<td>Clot length (Lc)</td>
</tr>
<tr>
<td>Percentage of platelets (fp)</td>
</tr>
<tr>
<td>Mean Platelet Area (MPA)</td>
</tr>
<tr>
<td>Fadhesion_platelet</td>
</tr>
<tr>
<td>Occlusion</td>
</tr>
<tr>
<td>Number of wires (n)</td>
</tr>
<tr>
<td>Initial pitch angle(β₀)</td>
</tr>
<tr>
<td>Stent Young Modulus (E)</td>
</tr>
<tr>
<td>Stent Shear Modulus (G)</td>
</tr>
<tr>
<td>Wire diameter</td>
</tr>
</tbody>
</table>

The parameters that define almost completely the elastic-plastic behavior of the clot and its resistance to breaking are the constants $K_{union}$ and $R_{union}$ of the spring-damper systems in parallel that are among the partitions of the clot that characterize the clot in the stretch mode, when it suffers the suction but not yet detached from the wall.

To find the value of the $K_{union}$ parameter, Savushkin [25] analyzes the stiffness of the clot and the breaking strength. We considered that the values are valid, due to the fact that the parameters of the experiments described fall within our range, and therefore we can assume that $K_{union} = 3.41 ± 1.5$ N/m.

Concerning with the $R_{union}$ value, Pennati [26] considers some useful parameters. In that work, values of the viscosity of the blood appear for the clot that they use in their model; taking into account the viscosity of the clot, we can assume that $R_{union} = 0.035$ kg/m·s.

In the model simulated in this section, we take a blood clot of 3mm of diameter and 2 cm of longitude. The existence of different partitions in the clot and also in the stent makes the extraction progressive with increasing time.

The parameters used have been taken to be fairly typical values so as to try to formulate a model that is as general as possible. The simulation results show that the greater rigidity of the clot, the shorter the extraction time. This factor is also related to the viscosity and composition of the clot that will vary in each case and patient. Therefore, for this study we have provided the critical values possible, by taking the situation where the clot is composed of 96% platelets. This gives rise to a fairly high bond strength - this could be reduced for other instances, but we use it here to give us an idea of the maximum pressure needed.

We also use a progressive force at the beginning of the experiment during 0.5 sec. and then it remains constant, focusing in the study on the required time for each partition to detach. Additionally, the variation of the radial and
longitudinal stiffness value for the stent from this deformation has also been implemented.

The following figures show the time that each partition takes to move, and the manner in which they fall off one to one as time increases.

![Figure 8. Blood clot partitions displacement.](image8)

In the following figure, we observe the variation of the longitudinal stiffness increase when it is pulled from the initial status into the artery, according to eq. (7).

![Figure 9. Results of the variation of the longitudinal stiffness.](image9)

As we can see in figure 9, as time increases, the stiffness becomes greater, and the greater is the deformation suffered by the stent in accordance with figure 7.

![Figure 10. Movement of each blood clot part.](image10)

According to the previous study done by the authors [27], in the case of the ‘GP’ device, the previous figure shows the movement of each blood clot taking into account the increasing value of the suction pressure in the first second. Once the force over one partition is greater than the adhesion force in it between blood clot and artery, the adhesion is broken and clot movement begins. In addition, it is possible to look at the velocity when one section is detached and this is higher since all the force is applied over lesser partitions.

The breaking strength of the clot, is considered, and is taken to an accuracy of an order of magnitude. With this data, it is found that in cases $L_{clot} = 5$ cm and occlusion percentages 80-100%, there is a danger of rupture prior to complete clot removal, which would mean the failure of the clot extraction process. Because when the clot breaks up, flow channels appear, and the blood begins to circulate through them. Consequently it is no longer possible to extract the clot. Therefore, it is taken as a benchmark, to call attention to the danger of rupture cases.

![Figure 11. Suction pressure Vs Required time (100%, 1cm).](image11)

Simulating a range of pressures from 0 to -100 kPa, we can observe how blood clot extraction varies with time and forces of adhesion. The following figures show the time it takes to move the clot 3 mm (first part), which is the distance that is maintained during the extraction by the GPTAD.

In figure 11 we can observe the different times to extract a blood clot in a 100% occlusion case and 1 cm long blood clot. Although our first study was concerned with obtaining the minimum necessary pressure, we observe clearly the exponential tendency, and values below 15 kPa for the suction pump cannot be used in every case due to the increasing time required. In this case, the necessary time to detach the first part of the clot and removal of the catheter by hand is about 5 seconds.

It appears from the results obtained in this modelling that, both devices have the capability of blood clot extraction. However in the case of modelling auto-expandable stents we need to introduce a cutting force between the stent and the blood clot or artery; and in this case, smaller blood clots could be created, increasing the risks and the possibility of new occlusions.

### VI. CONCLUSIONS AND FUTURE WORKS

The work presented in this paper incorporated a blood clot model based in five parts, which makes it more realistic than previous modelling undertaken by the authors.
Additionally, a stent system has been inserted, making it possible to simulate different situations. Many previous studies analyze similar models by using Finite Element Analysis, which usually requires a lot of computer simulation time.

Our study has demonstrated that the Bond Graph technique is very useful in representing different simulation conditions, making it possible to incorporate different parameters in a very effective straight forward manner. It is now being implemented to model and simulate a combination of the GPTAD and a self-expandable stent to potentially improve the blood clot extraction process.

Future models will incorporate a cutting force between stent and blood clot or artery, an analysis of the assumed risks and comparing it with the use of each device when used as standalone devices in clot extraction.

REFERENCES


